

Environmental Conflict Resolution and Collaborative Problem Solving *(Mediations and Facilitations)*

Participant Follow-Up Questionnaire



Name of Project: Printed by USIECR

The U.S. Institute for Environmental Conflict Resolution (the Institute) evaluates all of its projects and cases. As a part of this evaluation we ask the various parties who have been involved in an Institute project or case to provide us with information about their experience. Your responses will be part of the Institute's ongoing evaluation effort, and the data compiled will provide much-needed information that will be used to improve our programs and services. The average estimated reporting burden for this questionnaire is 10 minutes. This estimate includes time for reviewing the instructions, gathering the data needed, completing, and reviewing the questionnaire. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Institute. This questionnaire has an identifying number so that we can track who has responded. The Institute will not report information from this evaluation in a way that respondents or organizations can be identified. Moreover, the identity of individual respondents will be kept confidential and will not be disclosed. The Office of Management and Budget (OMB) number that is displayed on the cover is currently valid and authorizes this collection of information.

Please return your completed questionnaire in the enclosed envelope to the Program Evaluation Coordinator at:



130 South Scott Avenue, Tucson, Arizona 85701
Telephone: 520.670.5299 Fax: 520.670.5530
Website: www.ecr.gov

- 1. Please assess the extent to which agreement was reached.** PLEASE CHECK THE MOST APPROPRIATE BOX ONLY **AND** PLEASE USE THE SPACE PROVIDED TO EXPAND ON YOUR ANSWER.

The term “**AGREEMENT**” applies to the written or unwritten agreement reached by participants in the process, including plans, proposals/recommendations, procedures, collaborative decisions to work together and settlements. THINK ABOUT WHAT IT WAS THAT THE GROUP WAS CHARGED TO COME UP WITH AT THE END OF THIS COLLABORATIVE PROCESS.

| CHECK <u>ONLY ONE</u> | | |
|--------------------------|--|------------------------------------|
| <input type="checkbox"/> | Agreement reached on all key issues | <i>Please elaborate as needed:</i> |
| <input type="checkbox"/> | Agreement on most key issues | |
| <input type="checkbox"/> | Agreement on some key issues | |
| <input type="checkbox"/> | No agreement on any key issues, but progress was made towards addressing the issues or resolving the conflict. | |
| <input type="checkbox"/> | No agreement, we ended the process without making much progress. | |

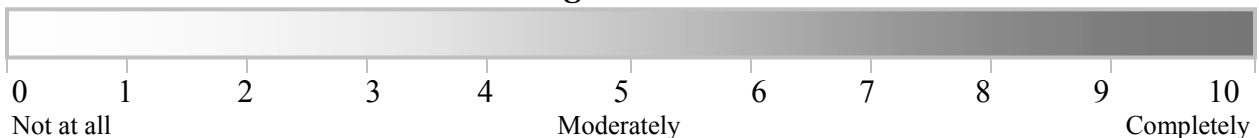
- 2. What has happened since the end of the collaborative process?** (CHECK ALL THAT APPLY)

| Check <u>all</u> that apply | |
|--------------------------------|--|
| <input type="checkbox"/> | a. Don't know. |
| <input type="checkbox"/> | b. Nothing...everyone is waiting for a better time to take action. |
| <input type="checkbox"/> | c. Agreement has resolved the issues or the controversy. |
| <input type="checkbox"/> | d. Agreement was reached and we are in the process of implementing the next steps. |
| <input type="checkbox"/> | e. Collaborative problem-solving or dispute resolution is continuing to be used in this case. |
| <input type="checkbox"/> | f. Unassisted negotiations have been underway. |
| <input type="checkbox"/> | g. A judicial settlement conference was or is being held. |
| <input type="checkbox"/> | h. One or more parties proceeded with litigation. |
| <input type="checkbox"/> | i. Some participants have been lobbying or working to achieve legislative action or consideration by a decision-making body. |
| <input type="checkbox"/> | j. Some participants are initiating or participating in rule making. |
| <input type="checkbox"/> | k. The dispute has gone to arbitration. |
| <input type="checkbox"/> | l. The dispute is subject to an administrative proceeding (i.e., agency appeals process, contested process hearing, agency order, etc.). |
| <input type="checkbox"/> | m. Other (PLEASE SPECIFY) _____ |

3. Now that a few months have passed since the collaborative process was completed, in very general terms, what did this collaborative process accomplish?

| | |
|--------------------------------|--|
| Check <u>all</u> that apply | |
| <input type="checkbox"/> | a. A potentially costly or divisive dispute or controversy was likely avoided. |
| <input type="checkbox"/> | b. An impasse (stalemate) was broken. |
| <input type="checkbox"/> | c. A crisis was averted. |
| <input type="checkbox"/> | d. Conflict didn't escalate. |
| <input type="checkbox"/> | e. Costly or protracted litigation was avoided. |
| <input type="checkbox"/> | f. Relationships among parties in this process were improved. |
| <input type="checkbox"/> | g. The process resulted in timely decisions and outcomes |
| <input type="checkbox"/> | h. Nothing was accomplished. |
| <input type="checkbox"/> | i. The process made the issue or dispute worse. |

Rating Scale



4. Using the rating scale above, please rate the following:

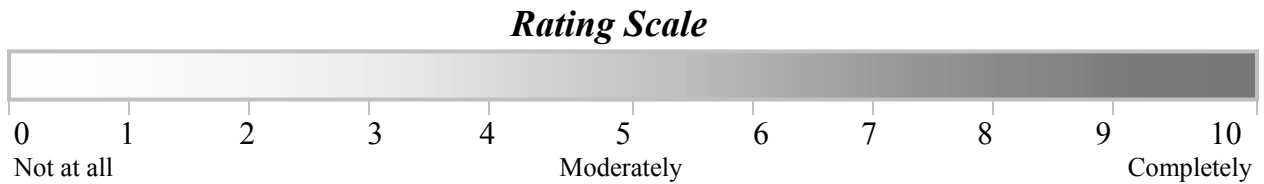
| | |
|--------|--|
| Rating | |
| _____ | a. The extent to which you are familiar with what has taken place since the end of the collaborative process. |
| _____ | b. The extent to which you have or your organization has had ongoing active involvement in developments since the collaborative process. |

FOR QUESTION 1, IF

"No agreement on any key issues, but progress was made towards addressing the issues or resolving the conflict" OR "No agreement, we ended the process without making much progress."

PLEASE SKIP TO PAGE 6 - QUESTION 11

OTHERWISE, PLEASE CONTINUE WITH THE REMAINING QUESTIONS



5. Using the scale above, please rate the following:

| Rating | |
|--------|--|
| _____ | a. The extent to which the agreement has <i>already</i> been implemented. |
| _____ | b. The extent to which you are confident that <i>over time</i> the agreement will be implemented. <input type="checkbox"/> Check if <i>Not Applicable</i> because implementation of the agreement is complete |
| _____ | c. The extent to which the agreement has <i>already</i> achieved the intended results. |
| _____ | d. The extent to which you are confident that <i>over time</i> the agreement will achieve the intended results. <input type="checkbox"/> Check if <i>Not Applicable</i> (i.e., intended results have already been achieved) |
| _____ | e. The extent to which carrying out the agreement has been problematic. <input type="checkbox"/> Check if <i>Not Applicable</i> (i.e., implementation has not been started) |
| _____ | f. The extent to which you are confident the collaborative process will achieve what you hoped it would achieve with respect to this case or project. |
| _____ | g. Extent to which you are confident the agreement will ultimately lead to the desired results, whether or not the agreement is being carried out as expected. |

6. Were there any factors beyond the control of the participants in this collaborative process that had a significant impact on your ability to implement the agreement (for example, resources were/were not available, legal factors --- change in regulations, change in leadership)?

| | |
|--------------------------|-----|
| <input type="checkbox"/> | Yes |
| <input type="checkbox"/> | No |

7. IF you indicated 'YES' in #6, please list the factors that:

| | |
|---|-------|
| HELPED the participants in implementing the agreement: | |
| 1. | _____ |
| 2. | _____ |
| 3. | _____ |
| HINDERED the participants in implementing the agreement: | |
| 1. | _____ |
| 2. | _____ |
| 3. | _____ |

Rating Scale



8. Using the scale above, please rate the following:

| Rating | |
|--------|--|
| _____ | a. The extent to which the agreement is flexible enough to respond to changing conditions. |
| _____ | b. The extent to which the agreement has already helped or likely will help resolve related problems that may arise in the future. |
| _____ | c. The extent to which you are confident the key elements of the agreement will last. |

- | Check if N/A | Rating | |
|--------------------------|--------|--|
| <input type="checkbox"/> | _____ | a. The extent to which the participants have been able to work together to carry out the agreement as planned. |
| <input type="checkbox"/> | _____ | b. The extent to which the participants will be able to work together on continued implementation. |
| <input type="checkbox"/> | _____ | c. The extent to which the participants will be able to work together to deal with changing conditions that might occur. |
| <input type="checkbox"/> | _____ | d. The extent to which the participants trust each other <i>at this</i> point in time. |

1. _____

2. _____

3. _____

11. What outcomes have already resulted from the agreement or lack of agreement?

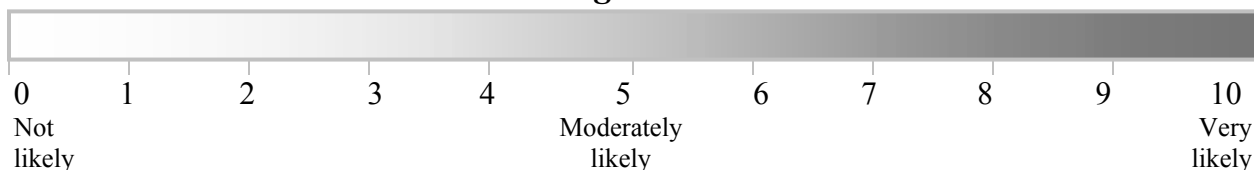
- LIST UP TO 3 KEY OUTCOMES THAT HAVE ALREADY HAPPENED, AND
- INDICATE IN THE BOXES TO THE RIGHT, THE LEVEL OF IMPACT YOU ANTICIPATE EACH OUTCOME TO HAVE.

PLEASE CONSIDER THAT THESE OUTCOMES CAN BE **POSITIVE OR NEGATIVE** AND THEY CAN BE OF MANY TYPES, SUCH AS EFFECTS ON THE NATURAL ENVIRONMENTAL OR ON CULTURAL RESOURCES, CHANGES IN SOCIAL OR COMMUNITY RELATIONSHIPS, ECONOMIC DEVELOPMENT, AND SO ON.

☐ Check if *Not Applicable* (i.e., no immediate outcomes have resulted from the agreement or lack of agreement).

| Key outcomes that have already occurred | Level of Impact | | | | |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | Extremely negative | Somewhat negative | No impact | Somewhat positive | Extremely positive |
| 1. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Rating Scale



12. What long-term outcomes do you anticipate as a result of the agreement or lack of agreement?

- IDENTIFY UP TO 3 KEY OUTCOMES, AND
- RATE IN THE COLUMN TO THE LEFT, THE EXTENT TO WHICH YOU THINK THESE OUTCOMES WILL OCCUR USING THE SCALE ABOVE.
- ALSO, PLEASE INDICATE IN THE BOXES TO THE RIGHT, THE LEVEL OF IMPACT YOU ANTICIPATE EACH OUTCOME TO HAVE.

PLEASE CONSIDER THAT THESE OUTCOMES CAN BE **POSITIVE OR NEGATIVE**, AND THEY CAN BE OF MANY TYPES, SUCH AS EFFECTS ON THE NATURAL ENVIRONMENTAL OR ON CULTURAL RESOURCES, CHANGES IN SOCIAL OR COMMUNITY RELATIONSHIPS, ECONOMIC DEVELOPMENT, AND SO ON.

| Rating (See scale above) | 3 Key Long-term Outcomes | Level of Impact | | | | |
|-----------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | | Extremely negative | Somewhat negative | No impact | Somewhat positive | Extremely positive |
| _____ | 1. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| _____ | 2. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| _____ | 3. _____ _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**Thank you for taking the time to complete this questionnaire.
Your assistance in providing this information is *very much* appreciated.**

PERSONS WITH DISABILITIES WHO REQUIRE ALTERNATIVE MEANS FOR COMMUNICATION OF PROGRAM EVALUATION INFORMATION SHOULD CONTACT THE U.S. INSTITUTE AT (520) 670-5658.